



# Exemplar-Based Open-Set Panoptic Segmentation Network





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• **Closed-set:** In the test dataset, there only exists classes that are learned during training.

### **Training data**





tortoise



horse



dog

### Test data











We know these classes!



- **Closed-set:** In the test dataset, there only exists classes that are learned during training.
- **Open-set:** In the test dataset, some classes do not appear during training.

#### **Training data**





tortoise



horse



dog



### Test data







🙄 Who are they?

- Classes in the open-world can be divided.
  - Known class: class appears during training with labels and testing.
  - Unknown class:
    - Seen Unknown class (Known Unknown class): class appears during training without label and testing.
    - Unseen Unknown class (Unknown Unknown class): class only appears during testing.



• As we gather more images, the number of seen unknown classes rapidly increases.

#### Semantic Segmentation

Segment all semantic background and instances.

#### Instance Segmentation

- Segment all instance differentiating each instance (instance id).
- Panoptic Segmentation
  - Segment all semantic background and instances differentiating each instance.



#### **Instance Segmentation**



#### **Panoptic Segmentation**



#### • Thing class

• Object (e.g., car, person, motorbike).

#### • Stuff class

- Semantic background (e.g., sky, road).
- Amortized instances (e.g., *building*, *grass*, *tree*).

#### • Void class

- Remaining regions where are not associated with thing class and stuff class.
- Evaluation Metrics
  - PQ (Panoptic Quality), SQ (Segmentation Quality), RQ (Recognition Quality)

$$PQ = \underbrace{\frac{\sum_{(p,g)\in TP} IoU(p,g)}{|TP|}}_{\text{segmentation quality (SQ)}} \cdot \underbrace{\frac{|TP|}{|TP| + \frac{1}{2}|FP| + \frac{1}{2}|FN|}}_{\text{recognition quality (RQ)}}$$



- Open-Set + Panoptic Segmentation
- The model should find and segment not only known class but also unknown class.





## Challenges

- Finding unknown instances are difficult than rejecting unknown instances
  - Unknown objects which might have been labeled as background during training.
- Labeling all objects for evaluation is almost impossible.
  - Some objects are composed of several parts.
  - The definition of 'object' varies by each person and it is hard to give one concrete guidance.
- Evaluation
  - Since ground-truths are incomplete PQ and RQ decrease as a model finds unlabeled unknown objects.
  - SQ cannot represent overall panoptic segmentation quality since it only consider true positives.



## **Assumptions for Tractable OPS**

- All unknown classes are thing class.
  - stuff classes are defined as regions (e.g., water) or often ill-posed (e.g., tree).
- Parts of known classes cannot be unknown classes (e.g., head in *person*, tire in *car*)
  - If *tire* exists by itself, it can be an unknown object.
- Unknown class objects only appear void regions in the training data.
  - This is for preventing label conflict between known class and unknown class.



## **Previous Closed-Set Panoptic Segmentation Frameworks**



• Allocate Instance ID after generating Semantic Segmentation.



## Motivation

- There is no methods that directly tackle open-set panoptic segmentation.
- However, we can utilize class-agnostic model (e.g., RPN)
- We use **Panoptic FPN** as our baseline employing
  - Class-agnostic regressor.
  - Class-agnostic mask prediction head.
  - Objectness score from RPN.



Kirillov, Alexander, et al. "Panoptic feature pyramid networks." CVPR. 2019.



## **Baseline (Panoptic FPN)**

- We define **void box** of which more than a half of area is on the void regions.
- We have 4 different ways to use **void boxes**.
  - und (Void-background)
    ining (Void-ignorance)
    not assigning as known class (Void-su
     $c \in C^{Th}$





**CVPR 2021** 

Train as a new class (Void-train)



## **Motivation**

- Class-agnostic approach assumes unknown class has similar semantics with known ones.
  - It is hard to find unknown class having different semantics.



**Unknown classes** 

## Overview

- We propose exemplar-based open-set panoptic segmentation network (EOPSN).
- In exemplar theory, people categorize new objects by comparing exemplars of each class.
- We first find new unknown class with exemplars by clustering
- Then, we mine additional exemplars by comparing them with object proposals.



## **Overall Architecture**



**CVPR 2021** 

## **Overall Architecture**



• Proposal features are the inputs of last classification layer.

## Dataset

- Our open-set panoptic segmentation (OPS) dataset is based on Microsoft MS COCO 2017.
  - 118K training image, 5K validation images (80 thing classes, 53 stuff classes).
- We construct different splits with different ratio, *K* of unknown classes among thing classes.
  - **5%:** car, cow, pizza, toilet
  - **10%:** (5%) + *boat, tie, zebra, stop sign*
  - **20%:** (10%) + *dining table, banana, bicycle, cake, sink, cat, keyboard, bear*
- We remove annotations for unknown classes in the training dataset.



Image



**Original annotation** 



**OPS** annotation

### **Performance of Baseline Methods**

• Open-set panoptic segmentation results on COCO val set with *K* = 20% of the baselines.

Itilization of void regions	Known										Unknown		
	PQ	SQ	RQ	PQ <sup>Th</sup>	SQ <sup>Th</sup>	$RQ^{Th}$	PQ <sup>St</sup>	SQ <sup>St</sup>	RQ <sup>St</sup>	PQ	SQ	RQ	
Void-background	37.7	76.3	46.6	44.8	79.3	54.1	29.2	72.8	37.5	4.0	71.1	5.7	
Void-ignorance	37.2	76.3	45.9	43.9	79.0	53.1	29.1	73.0	37.3	3.7	71.8	5.2	
Void-suppression	37.5	75.9	46.1	45.1	80.6	54.5	28.2	70.2	36.1	7.2	75.3	9.6	
Void-train	36.9	76.4	45.5	44.0	80.3	53.3	28.2	71.7	36.0	7.8	73.4	10.7	

## **Comparison with Baseline Method**

• Open-set panoptic segmentation results on COCO val set with various splits, K.

K(%)	Model	Known										Unknown		
	WIOUCI	PQ	SQ	RQ	PQ <sup>Th</sup>	SQ <sup>Th</sup>	RQ <sup>Th</sup>	PQ <sup>St</sup>	SQ <sup>St</sup>	RQ <sup>St</sup>	PQ	SQ	RQ	
	Supervised	39.4	77.7	48.4	45.8	80.7	55.4	29.7	73.1	38.0	-	-	-	
5	Baseline (Void-train)	37.7	76.7	46.4	44.2	80.4	53.5	28.3	71.3	36.2	10.0	73.8	13.5	
	EOPSN	38.0	76.9	46.8	44.8	80.5	54.2	28.3	71.9	36.2	23.1	74.7	30.9	
10	Baseline (Void-train)	36.9	75.4	45.5	43.2	79.0	52.4	28.3	70.4	36.2	8.5	73.2	11.6	
	EOPSN	37.7	76.8	46.3	44.5	80.6	53.8	28.4	71.8	36.2	17.9	76.8	23.3	
20	Baseline (Void-train)	36.9	76.4	45.5	44.0	80.3	53.3	28.2	71.7	36.0	7.8	73.4	10.7	
	EOPSN	37.4	76.2	46.2	45.0	80.3	54.5	28.2	71.2	36.2	11.3	73.8	15.3	

## **Sensitivity Analysis**

• Size of input proposals for clustering on COCO val set (*K* = 10%)

Proposal size		Knowr	1	Unknown				
rioposai size	PQ	SQ	RQ	PQ	SQ	RQ		
Large	37.7	77.5	46.4	13.5	<b>78.1</b>	17.3		
Medium	37.7	77.5	46.4	12.5	74.8	16.7		
Small	37.6	76.7	46.3	0.3	64.1	0.4		
Large + Medium	37.7	76.8	46.3	17.9	76.8	23.3		
Large + Medium + Small	37.8	77.1	46.6	6.9	69.8	9.9		

• The number of clusters and clustering interval on COCO val set (K = 10%)

The number of clusters	Known			Unknown			Clustering interval	Known			Unknown		
	PQ	SQ	RQ	PQ	SQ	RQ		PQ	SQ	RQ	PQ	SQ	RQ
64	37.3	76.2	45.8	12.9	76.5	16.8	100 .	37.6	77.2	46.3	8.2	77.5	10.6
128 (ours)	37.7	76.8	46.3	17.9	76.8	23.3	200 (ours)	37.7	76.8	46.3	17.9	76.8	23.3
256	37.2	76.8	45.7	12.6	78.8	16.0	400	37.7	77.5	46.3	14.6	76.4	19.1

## **Exemplars in an Identified Unknown Class by First Clustering**

• Most exemplars represent *car*.



### Qualitative Results on COCO val set (K=10%)

#### • Orange color presents unknown classes



## Limitations

- EOPSN mainly focuses on recognition.
- EOPSN cannot deal with unseen unknown classes.
  - However, as we collect more data, the number of seen unknown classes will grow rapidly.
- We employ existing metrics that have limitations in OPS.
  - A new metric should be defined for OPS.
  - One possible way is to modify SQ by properly considering false positive of unknown classes.

## Conclusion

- We propose a novel task, open-set panoptic segmentation.
- We also propose a novel framework for the task called EOPSN that
  - is based on top-down panoptic segmentation network, Panoptic FPN.
  - finds unknown classes with exemplars by clustering.
  - collects more exemplars by comparing found exemplars and object proposals in the mini-batch.
- Several limitations still remain.
  - We hope that this work draw community's attention on open-set problem in many other areas.

## Thank You!

# Questions?